



南京大學

NANJING UNIVERSITY



Computer Networks

Wenzhong Li, Chen Tian

Nanjing University

Material with thanks to James F. Kurose, Mosharaf Chowdhury, and other colleagues.



Chapter 2. Link Layer

- Link Layer Service
 - Framing
 - Link access
 - Reliable delivery
 - Error detection and correction
- Local Area Network (LAN)
 - Token Ring
 - Ethernet
- Medium access control (Cont.)
- Bridges and Layer-2 switch
- Wireless Networks



Performance of MAC



Performance Metric

■ Media Utilization

- Time used for frame transmission vs. time the shared media is occupied

$$U = \frac{\text{Time for frame transmission}}{\text{total time for a frame}}$$

■ Relative Propagation Time

$$a = \frac{\text{propagation time}}{\text{transmission time}} \quad \text{or}$$

$$a = \frac{\text{length of the data path (in bits)}}{\text{length of a standard frame (in bits)}}$$



Different Networks

- Contention free
 - Point-to-Point Link
 - Ring LAN
- Random access
 - ALOHA, slotted ALOHA
 - CSMA/CD



Point-to-Point Link with No ACK

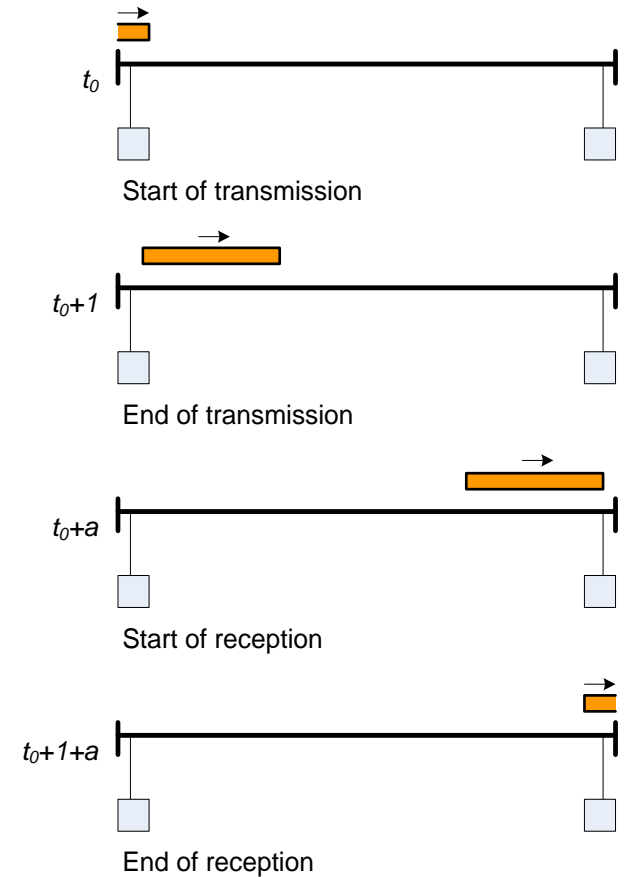
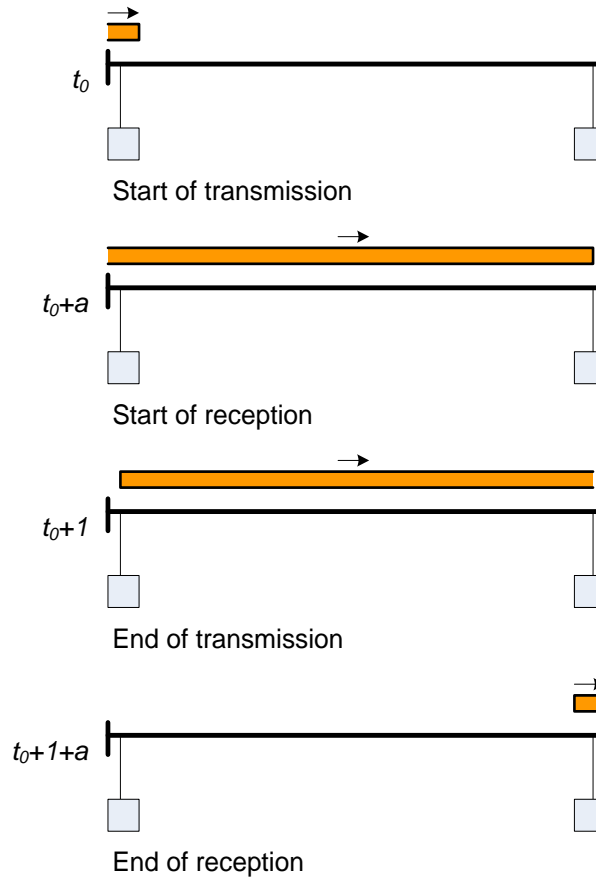
$$U = \frac{\text{Time for frame transmission}}{\text{total time for a frame}}$$

Large frame

(a) transmission time = 1
propagation time = $a < 1$

Small frame

(b) transmission time = 1
propagation time = $a > 1$



Define

1: normalized frame transmission time

a : end to end propagation delay

N : number of stations

Q:

Max Utilization $U=?$

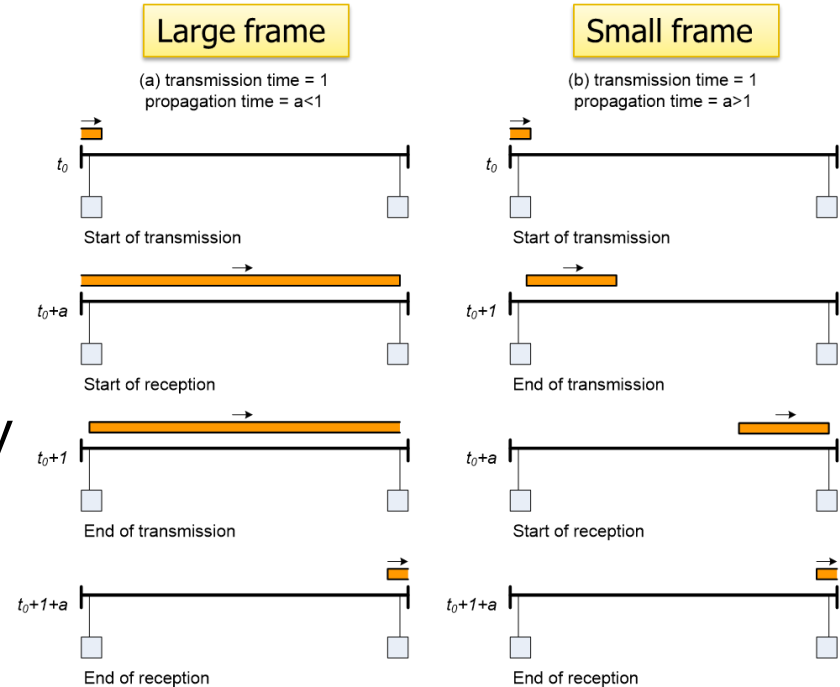


Max Utilization for Point-to-Point Link

- Parameters and assumptions
 - 1: normalized frame transmission time
 - a : end to end propagation delay
 - N : number of stations

- Each station has frames to transmit
- Total frame time = transmission delay + propagation delay: $1+a$
- Max Utilization:

$$U = \frac{1}{1+a}$$



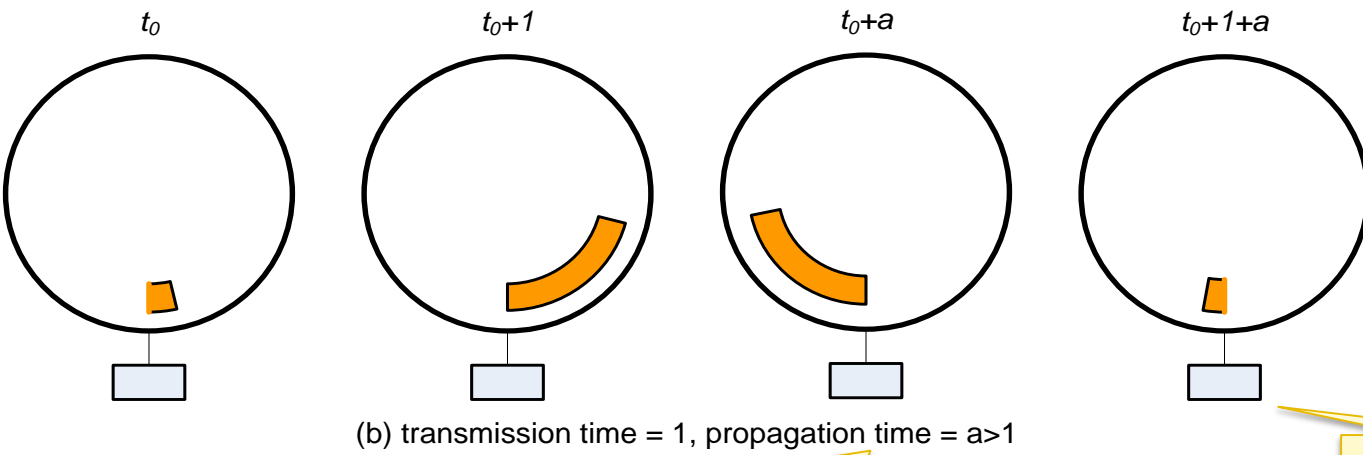
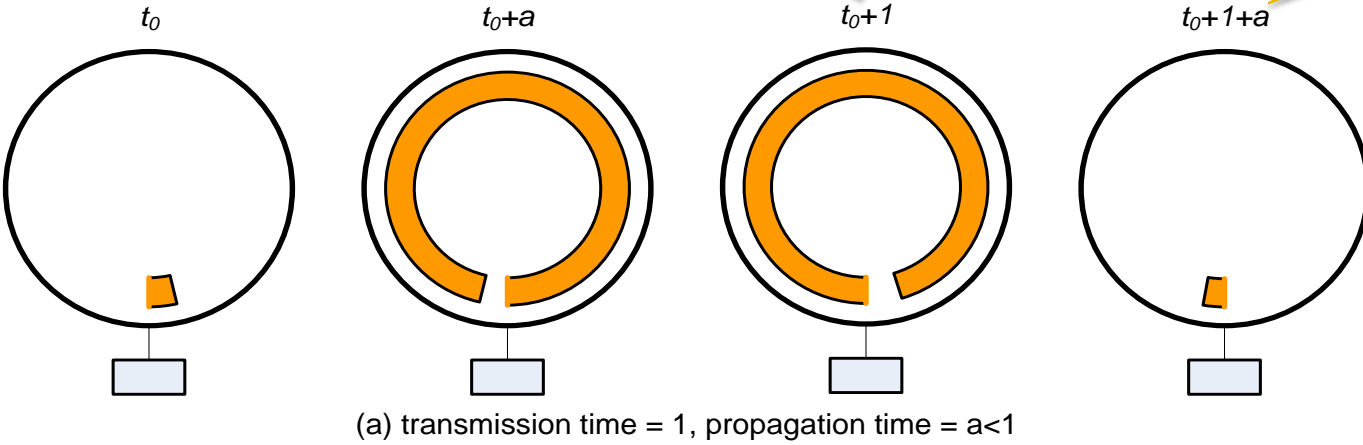
$$U = \frac{\text{Time for frame transmission}}{\text{total time for a frame}}$$



Ring

Token is released at t_0+1 , and it will arrive the next station at t_0+1+a/N (next transmission starts).

End of the previous transmission at t_0+1+a .



Define:

T_1 : Average time to transmit a frame, i.e. $T_1 = 1$

T_2 : Average time to pass the token after frame transmission

N : number of stations

Q :

Max Utilization: $U = ?$

Token is released at t_0+a , and it will arrive the next station at t_0+a+a/N (next transmission starts).

End of the previous transmission at t_0+1+a .



Max Utilization for Ring LAN

■ Define

- T_1 : Average time to transmit a frame, i.e. $T_1 = 1$
- T_2 : Average time to pass the token after frame transmission

■ Max Utilization: $U = T_1 / (T_1 + T_2)$

2 cases

■ Case 1: $a < 1$ (frame longer than ring)

- $T_2 =$ time to pass token to the next station $= a/N$

■ Case 2: $a > 1$ (frame shorter than ring)

- $T_2 =$ sender wait for frame returns after transmission $= a - 1 + a/N$

$$U = \begin{cases} \frac{1}{1 + a/N} & a < 1 \\ \frac{1}{a + a/N} & a > 1 \end{cases}$$



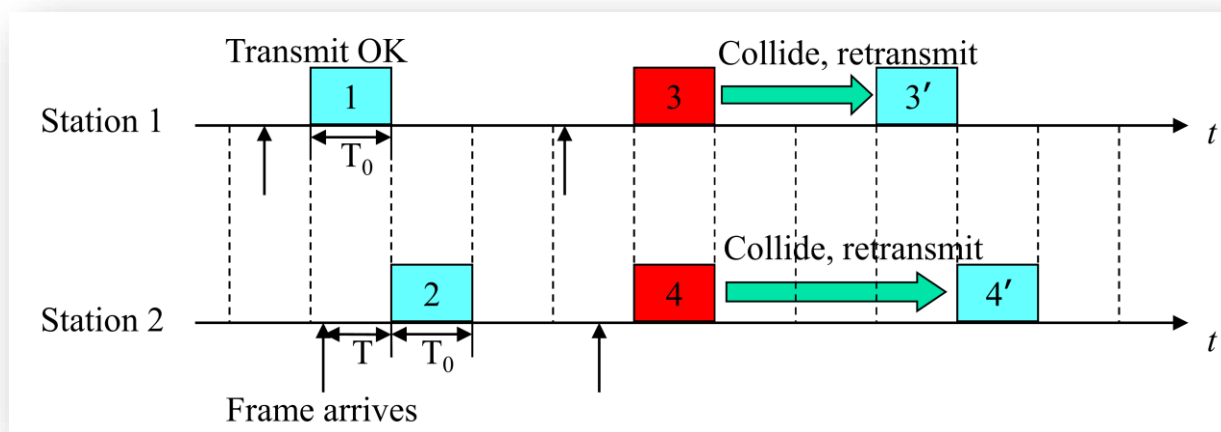
Slotted ALOHA

- All frames have same size
- Time is uniformly slotted
- Nodes are synchronized
- Transmission begins at slot boundary
- Frames either miss or overlap totally

Operation:

- N nodes with many frames to send
- Each transmits in each slot with probability p until success

- Q:
- Max Utilization: $U = ?$

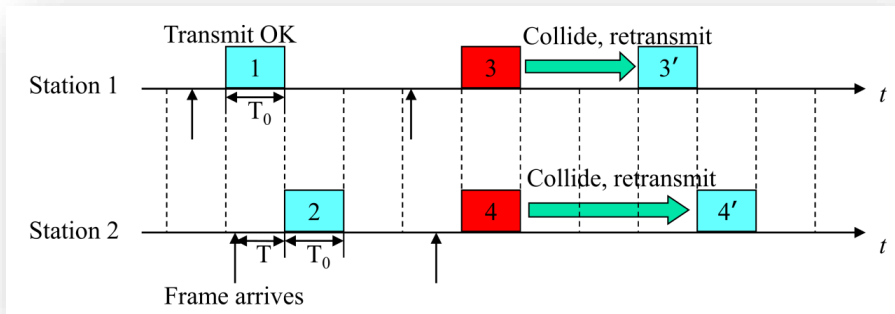




Slotted ALOHA

- **Suppose:**
 - N nodes with many frames to send, each transmits in slot with probability p
- Probability of **successful transmission**
 - One node has success in a slot $= p(1 - p)^{N-1}$
 - Any node has a success $A = Np(1 - p)^{N-1}$
- **Maximize value** of A (let $A'(p)=0$)

$$p = \frac{1}{N} \implies A = \left(1 - \frac{1}{N}\right)^{N-1}$$





Slotted ALOHA Efficiency

- Utilization if a slot is successfully used

$$U_s = \frac{1}{1 + 2a} \approx 1 \quad (a \ll 1)$$

- Since A is the **rate of success slot**

$$U = U_s \times A \approx \left(1 - \frac{1}{N}\right)^{N-1}$$

Let $N \rightarrow \infty$

$$U \approx e^{-1} = 0.367879$$

Before data transmission, it takes a to detect collision;
After transmission, it takes a to make sure the transmission of the last bit

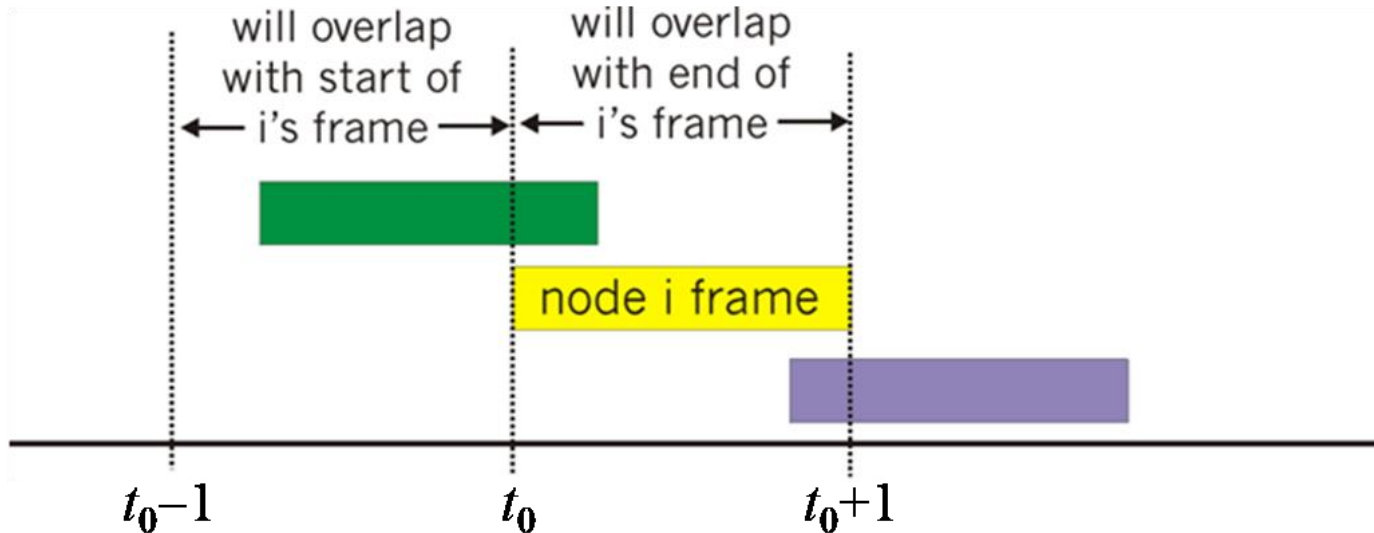
efficiency: long-run fraction of successful slots (many nodes, all with many frames to send)

$$\lim_{x \rightarrow \infty} \left(1 - \frac{1}{x}\right)^x = e^{-1}$$



Pure ALOHA

- Simpler but collision probability increases
 - Frame sent at t_0 collides with other frames sent in $[t_0-1, t_0+1]$



Suppose:

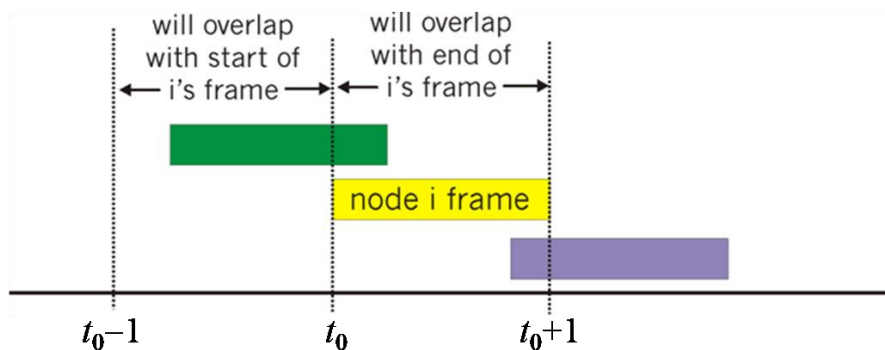
N nodes with many frames to send, each transmits in any time with probability p

Q: Max Utilization: $U = ?$



Pure ALOHA Efficiency

- Probability of **successful transmission**



$$A = N \cdot P(\text{one transmits in the slot}).$$

$$P(\text{no other node transmits in } [t_{0-1}, t_0]).$$

$$P(\text{no other node transmits in } [t_0, t_{0+1}])$$

$$\begin{aligned} U &\approx A = Np \cdot (1 - p)^{2(N-1)} \\ &\approx \frac{1}{2} \left(1 - \frac{1}{2N}\right)^{2(N-1)} \quad \left(p = \frac{1}{2N}\right) \\ &\approx \frac{1}{2e} = 0.183940 \quad (N \rightarrow \infty) \end{aligned}$$



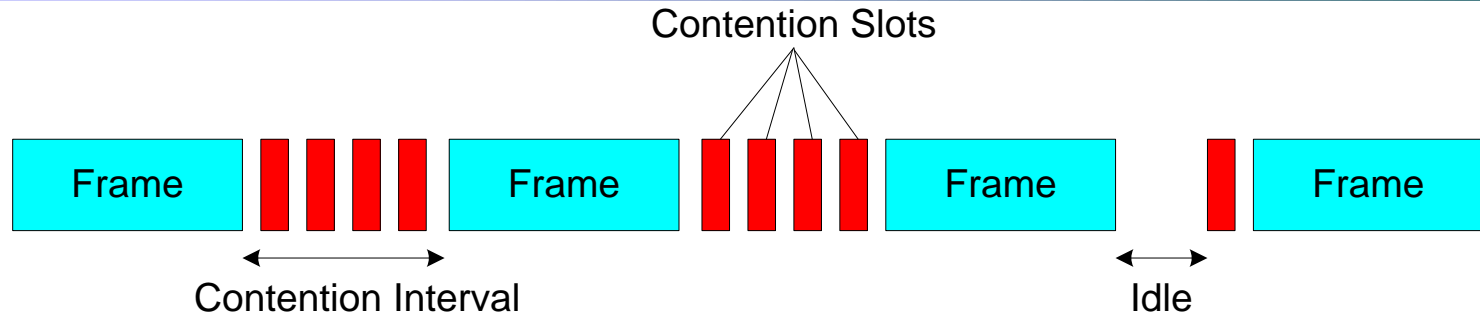
CSMA/CD

- With CSMA, **collision** occupies medium for **duration of transmission**
 - Colliding transmissions aborted once detected

- Stations **listen whilst transmitting**
 1. If medium idle, transmit; otherwise, step 2
 2. If busy, listen for idle, then transmit immediately
 3. If collision detected, send **jam signal** then abort
 4. After jam, wait random time then start from step 1



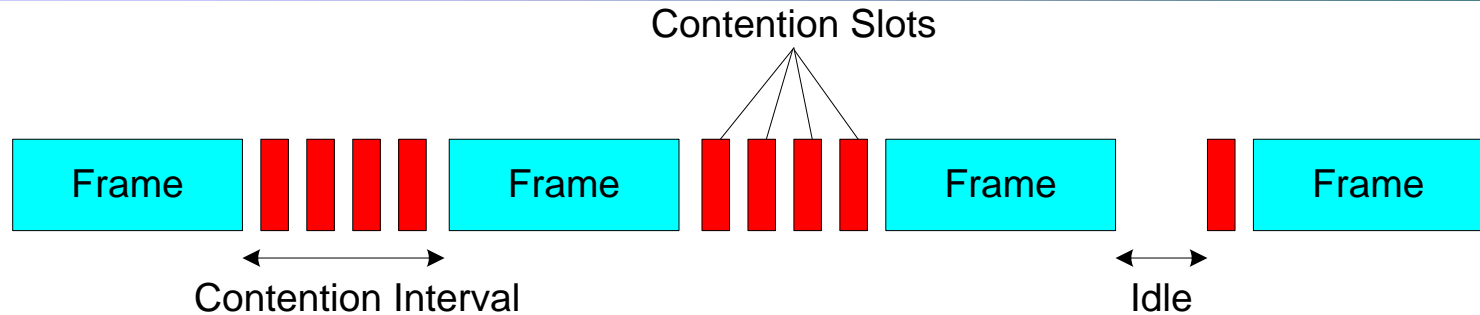
CSMA/CD (**p-persistent**): Max Utilization



- **Contention slots** end in a collision
- **Contention interval** is a sequence of contention slots
 - Length of a slot in contention interval is $2a$
(in worst case it takes $2a$ time to detect contention)
- **Assume p-persistent:**
 - The probability that a station attempts to transmit in a slot is p
- **Q:** Max Utilization: $U = ?$



Max Utilization for CSMA/CD (1)



- Let A be the probability that some station can **successfully transmit in a slot**, then:

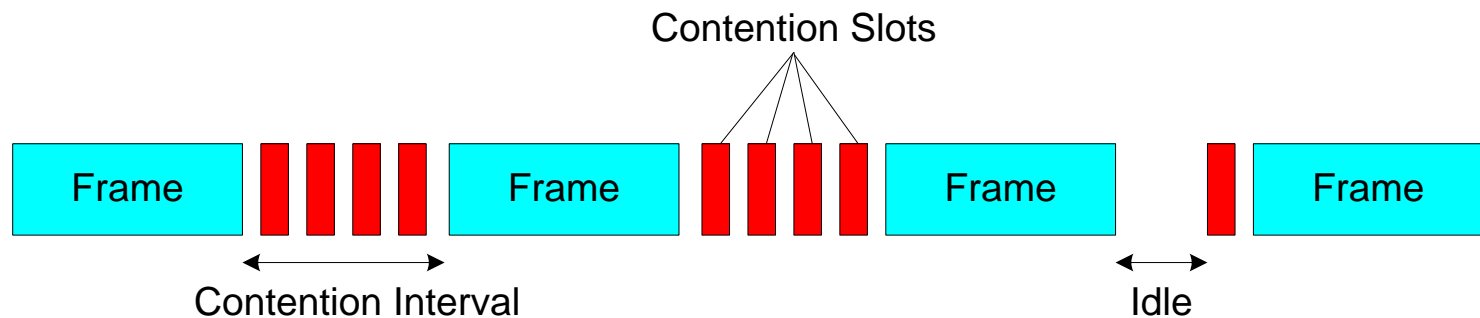
$$A = \binom{N}{1} p^1 (1-p)^{N-1} = Np(1-p)^{N-1}$$

- In above formula, A is **maximized** when $p=1/N$, thus:

$$A = \left(1 - \frac{1}{N}\right)^{N-1}$$



Max Utilization for CSMA/CD (2)



- Probability of a contention interval with j slots

$$Prob[j \text{ unsuccessful attempts}] \times Prob[1 \text{ successful attempt}] =$$

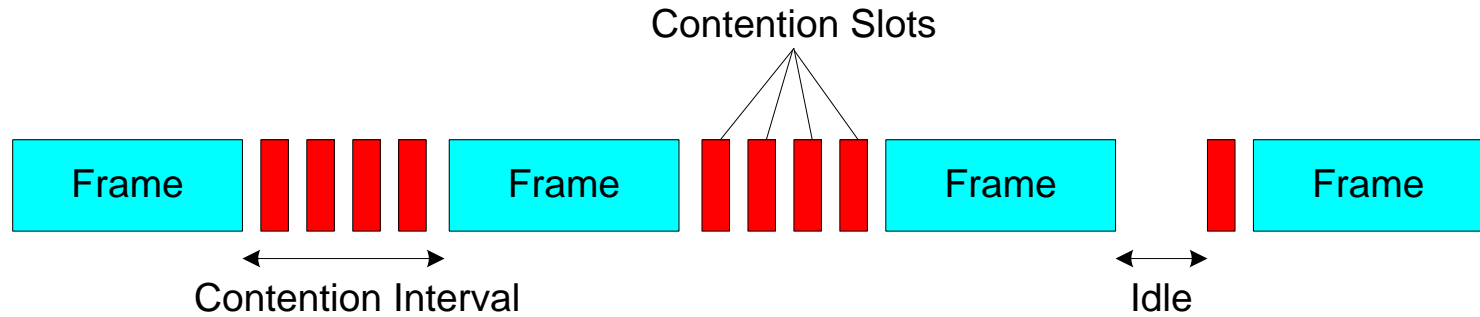
$$A(1-A)^j$$

- The expected number of slots in a contention interval is then calculated as (Geometric distribution, mean= $(1-p)/p$):

$$\sum_{j=1}^{\infty} jA(1-A)^j = \frac{1-A}{A}$$



Max Utilization for CSMA/CD (3)



■ Maximum Utilization

$$U = \frac{\text{Frame time}}{\text{Frame time} + \text{Propagation time} + \text{Average contention interval}}$$

$$= \frac{1}{1 + a + 2a \frac{1-A}{A}} = \frac{1}{1 + \frac{2-A}{A} a}$$

■ Let $N \rightarrow \infty$, $A = (1 - 1/N)^{N-1} = 1/e$ ($e = 2.718$)

$$U = \frac{1}{1 + \frac{2-A}{A} a} = \frac{1}{1 + (2e-1)a} \approx \frac{1}{1 + 4.44a}$$



MAC Address and Discovery



What is MAC Address?

- **Medium Access Control (MAC) Address**
 - Numerical address associated with a network adapter
 - Flat name space of 48 bits (e.g., **00-15-C5-49-04-A9** in HEX)
 - Unique, hard-coded in the adapter when it is built
- Hierarchical Allocation
 - **Blocks**: assigned to vendors (e.g., Dell) by the IEEE
 - First 24 bits (e.g., 00-15-C5-**-**-**)
 - **Adapter**: assigned by the vendor from its block
 - Last 24 bits



Address Configuration

```
C:\WINDOWS\System32\cmd.exe
C:\Documents and Settings\X129>ipconfig /all

Windows IP Configuration

Host Name . . . . . : X129-T
Primary Dns Suffix . . . . . : seven.parkland.cc.il.us
Node Type . . . . . : Hybrid
IP Routing Enabled. . . . . : No
WINS Proxy Enabled. . . . . : No
DNS Suffix Search List. . . . . : seven.parkland.cc.il.us
                                   parkland.cc.il.us
                                   cc.il.us
                                   il.us

Ethernet adapter Local Area Connection:

   Connection-specific DNS Suffix  . : parkland.edu
   Description . . . . . : Intel(R) PRO/100 UE Network Connection
   Physical Address. . . . . : 00-07-E9-6F-37-53
   Dhcp Enabled. . . . . : Yes
   Autoconfiguration Enabled . . . . : Yes
   IP Address. . . . . : 10.10.2.111
   Subnet Mask . . . . . : 255.255.0.0
   Default Gateway . . . . . : 10.10.1.1
   DHCP Server . . . . . : 216.125.249.50
   DNS Servers . . . . . : 216.125.249.48
                           206.166.53.20
                           206.166.49.21
   Primary WINS Server . . . . . : 216.125.249.50
   Secondary WINS Server . . . . . : 216.125.249.51
   Lease Obtained. . . . . : Wednesday, October 22, 2003 10:29:37 PM
   Lease Expires . . . . . : Thursday, November 06, 2003 10:29:37 PM

C:\Documents and Settings\X129>
```

MAC Address:
only can be seen
in the same
subnet

IP Address

Subnet mask:
define the range of
a subnet

Default Gateway:
all packets to the IPs in the same subnet will be
broadcasted;
all packets to the other IPs will be sent to the default
gateway (if no other route rule is given)



Discovery

- A host is “born” knowing only its MAC address
- Must discover lots of information before it can communicate with a remote host B
 - What is my IP address?
 - What is B’s IP address? (remote)
 - What is B’s MAC address? (if B is local)
 - What is my first-hop router’s address? (if B is not local)

■ ...

萌新三连



我是谁



我在哪儿

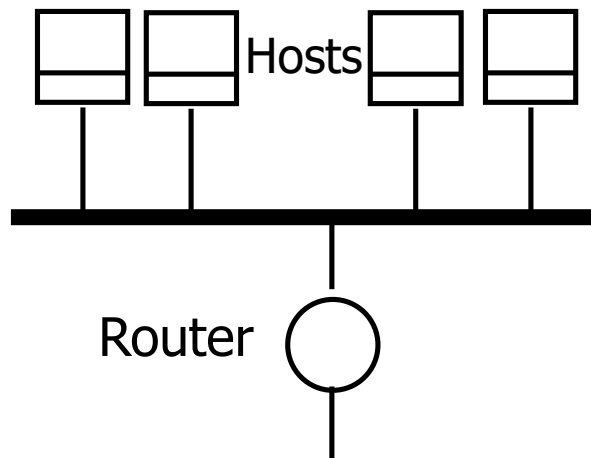


我要干嘛



ARP and DHCP

- Link layer discovery protocols
 - ARP → Address Resolution Protocol
 - DHCP → Dynamic Host Configuration Protocol
 - Confined to a single local-area network (LAN)
 - Rely on broadcast capability





ARP and DHCP

- Link layer discovery protocols
- Serve two functions
 - Discovery of local end-hosts
 - For communication between hosts on the same LAN
 - Bootstrap communication with remote hosts
 - What's my IP address?
 - Who/where is my local DNS server?
 - Who/where is my first hop router?



Address Configuration

```
C:\WINDOWS\System32\cmd.exe
C:\Documents and Settings\X129>ipconfig /all

Windows IP Configuration

Host Name . . . . . : X129-T
Primary Dns Suffix . . . . . : seven.parkland.cc.il.us
Node Type . . . . . : Hybrid
IP Routing Enabled. . . . . : No
WINS Proxy Enabled. . . . . : No
DNS Suffix Search List. . . . . : seven.parkland.cc.il.us
                                   parkland.cc.il.us
                                   cc.il.us
                                   il.us

Ethernet adapter Local Area Connection:

   Connection-specific DNS Suffix  . : parkland.edu
   Description . . . . .           : Intel(R) PRO/100 UE Network Connection
   Physical Address. . . . .       : 00-07-E9-6F-37-53
   Dhcp Enabled. . . . .           : Yes
   Autoconfiguration Enabled . . . : Yes
   IP Address. . . . .             : 10.10.2.111
   Subnet Mask . . . . .           : 255.255.0.0
   Default Gateway . . . . .       : 10.10.1.1
   DHCP Server . . . . .           : 216.125.249.50
   DNS Servers . . . . .           : 216.125.249.48
                                   206.166.53.20
                                   206.166.49.21
   Primary WINS Server . . . . .   : 216.125.249.50
   Secondary WINS Server . . . . . : 216.125.249.51
   Lease Obtained. . . . .        : Wednesday, October 22, 2003 10:29:37 PM
   Lease Expires . . . . .        : Thursday, November 06, 2003 10:29:37 PM

C:\Documents and Settings\X129>
```

MAC Address:
only can be seen
in the same
subnet

IP Address

Subnet mask:
define the range of
a subnet

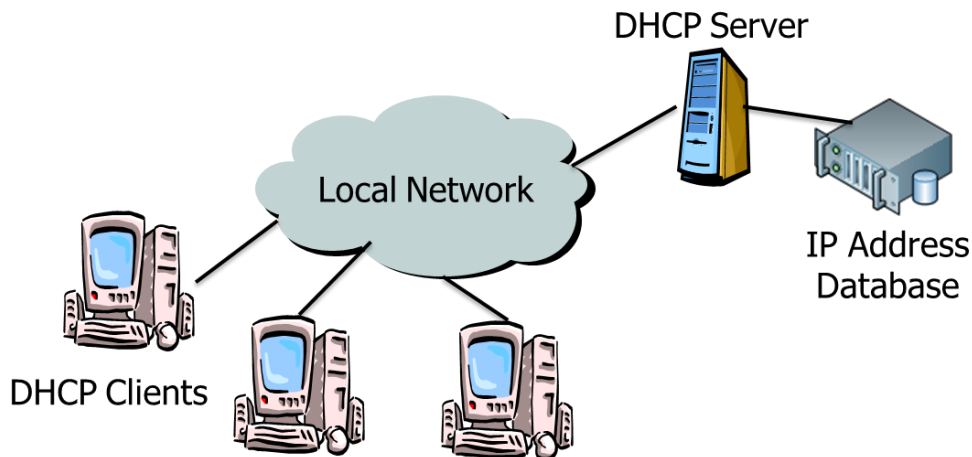
Default Gateway

DHCP
Automatic address configuration



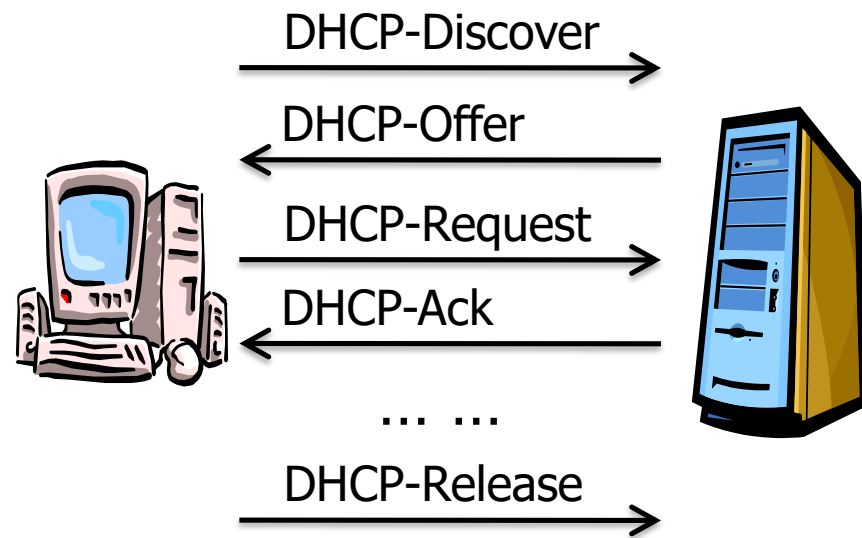
DHCP

- Dynamic Host Configuration Protocol
 - Defined in RFC 2131
- A host uses DHCP to discover
 - Its own IP address
 - Its netmask
 - IP address(es) for its local DNS name server(s)
 - IP address(es) for its first-hop “default” router(s)





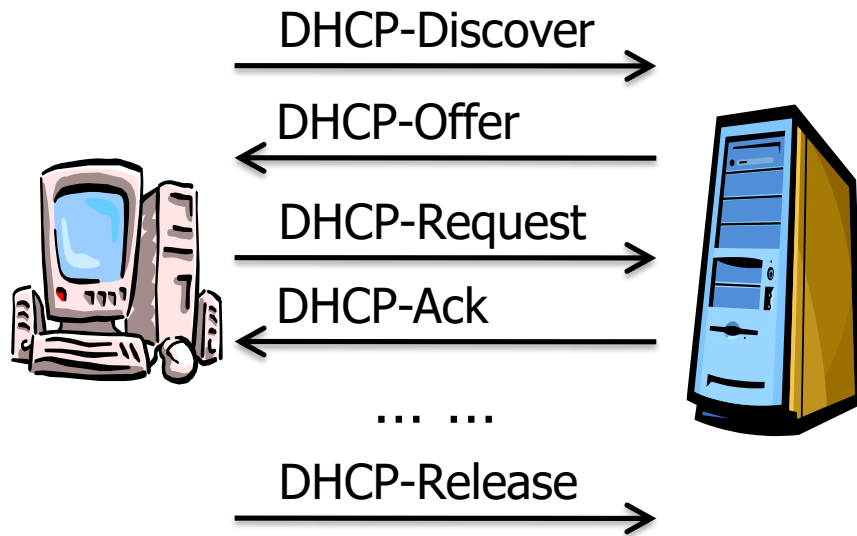
Typical Procedure of DHCP



- The client **broadcasts** a DHCP-DISCOVER message on its subnet
- **Each server** may respond with a DHCP-OFFER message
- The client chooses **one server**, **broadcasts** a DHCP-REQUEST message including server IP
- The **selected server** commits the binding, responds with a DHCP-ACK message



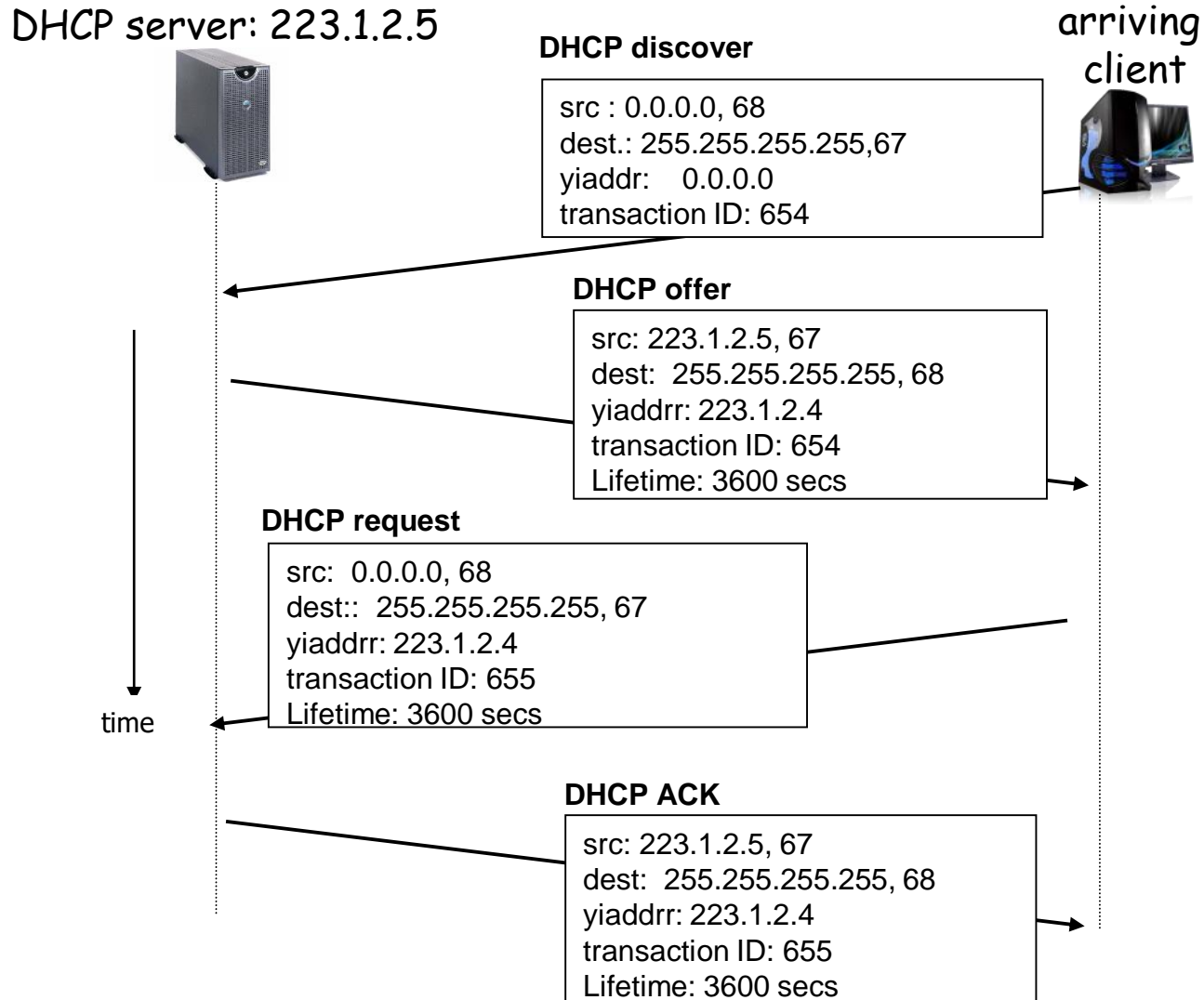
Typical Procedure of DHCP



- The client set its **configuration parameters** within the DHCP-ACK
- The client **relinquish the binding** by a DHCP-RELEASE message
- The binding will be **expired** if the client does not **renew (rebind) the binding** before

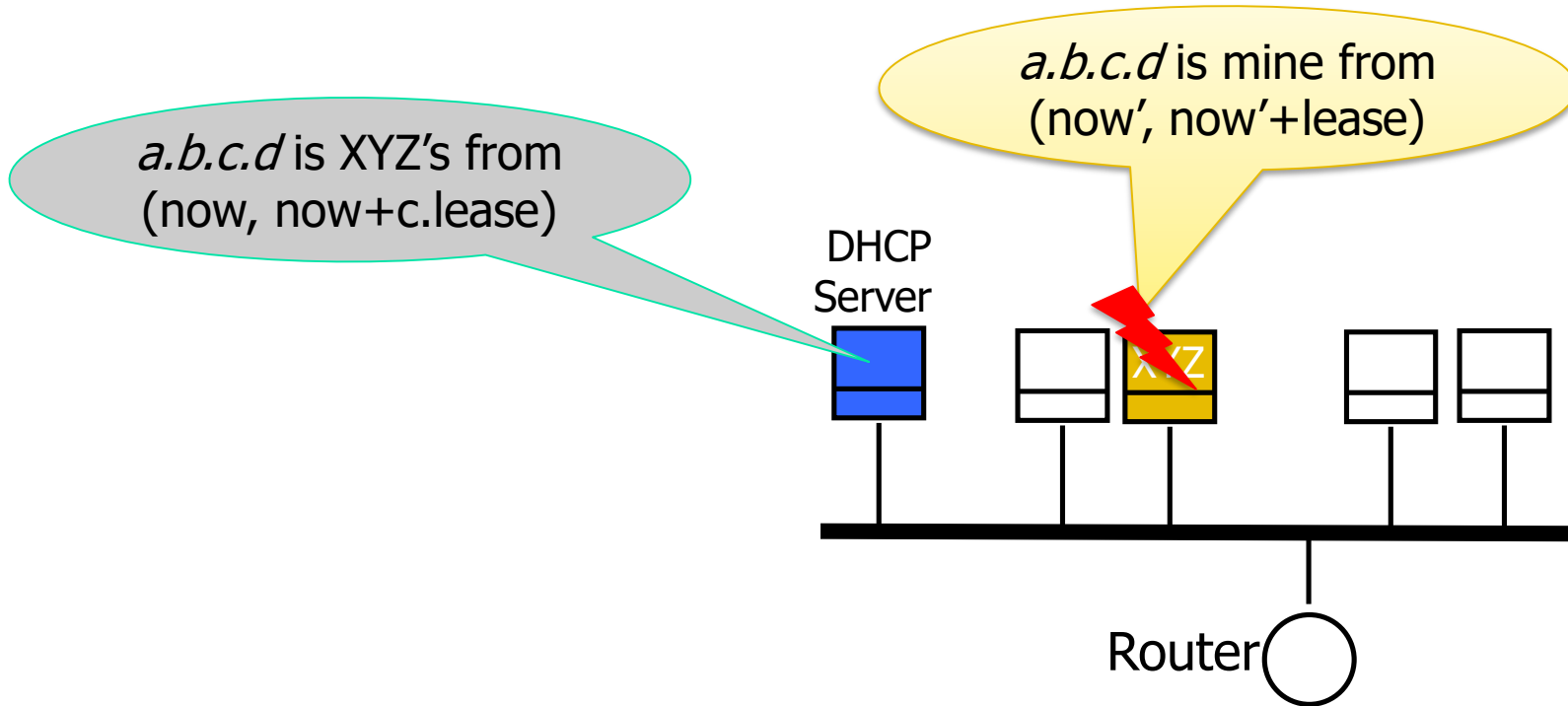


DHCP Messages





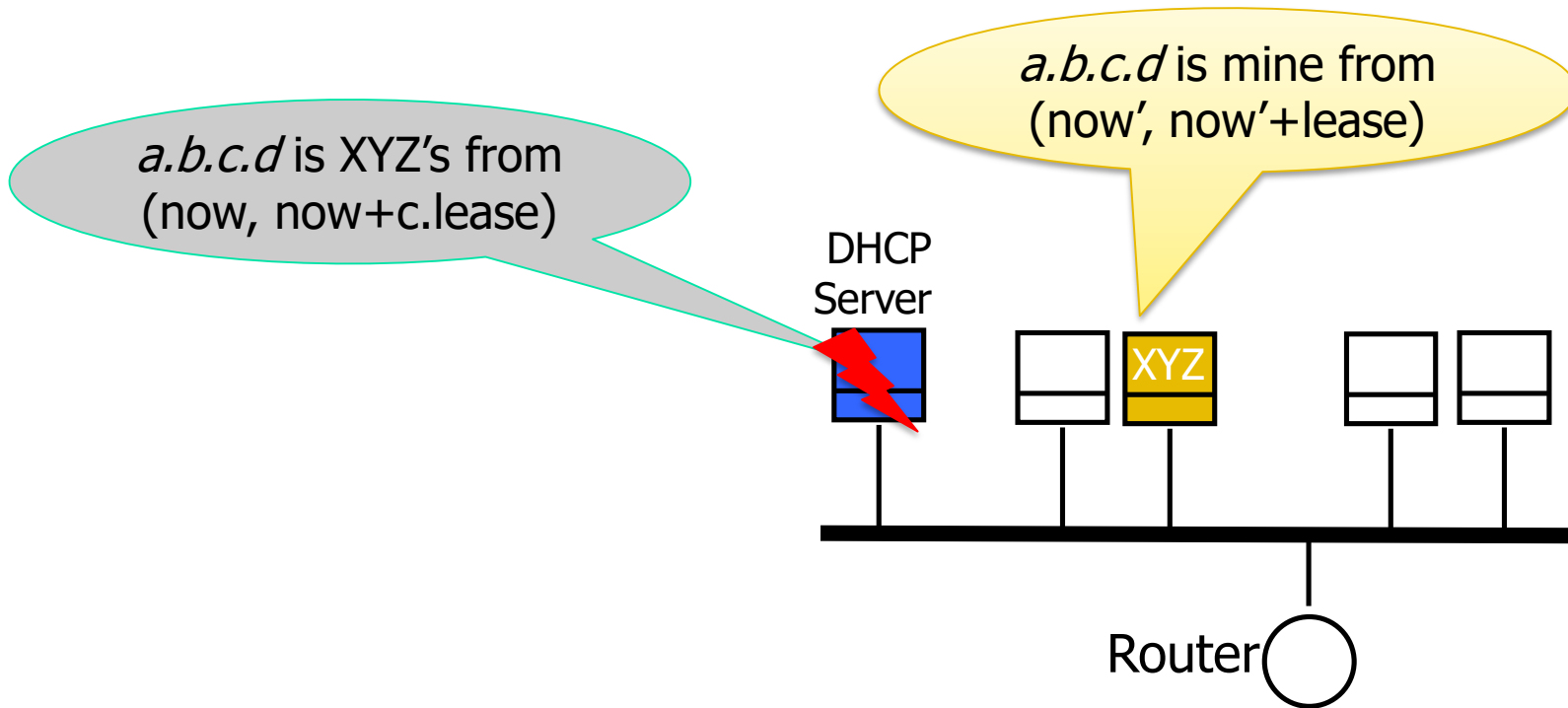
Soft state under failure



- What happens when host XYZ fails?
 - Refreshes from XYZ stop
 - Server reclaims a.b.c.d after $O(\text{lease period})$



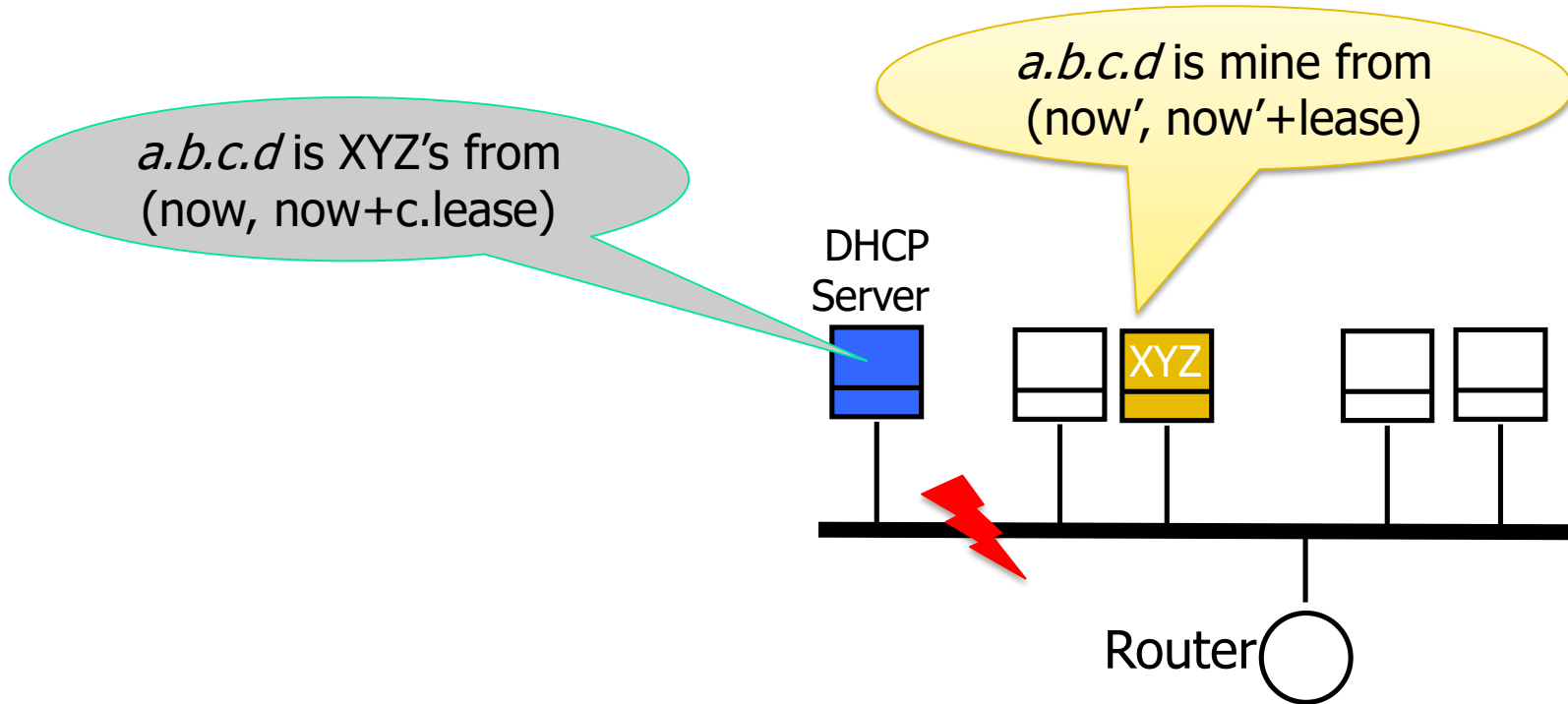
Soft state under failure



- What happens when server fails?
 - ACKs from server stop
 - XYZ releases address after $O(\text{lease period})$; send new request
 - A new DHCP server can come up from a 'cold start' and we are back on track in $\sim \text{lease time}$



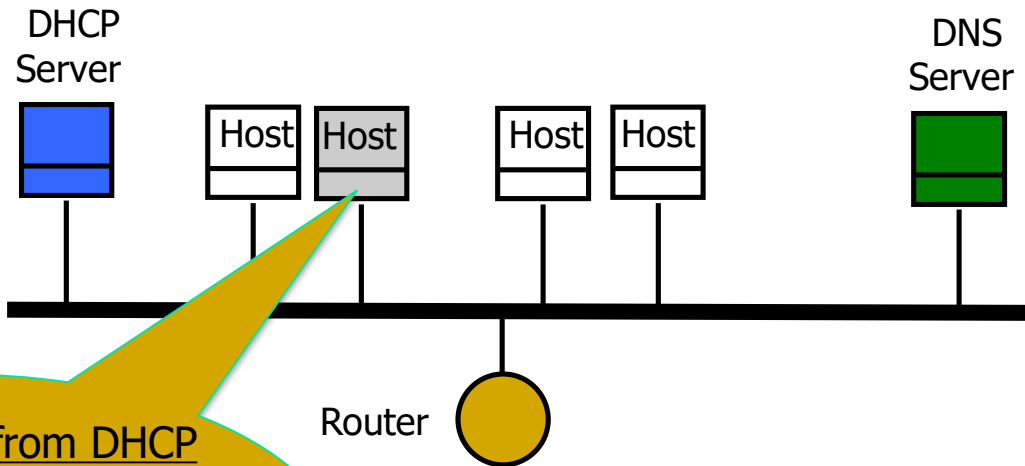
Soft state under failure



- What happens if the network fails?
 - Refreshes and ACKs don't get through
 - XYZ release address; DHCP server reclaims it



Are we there yet?

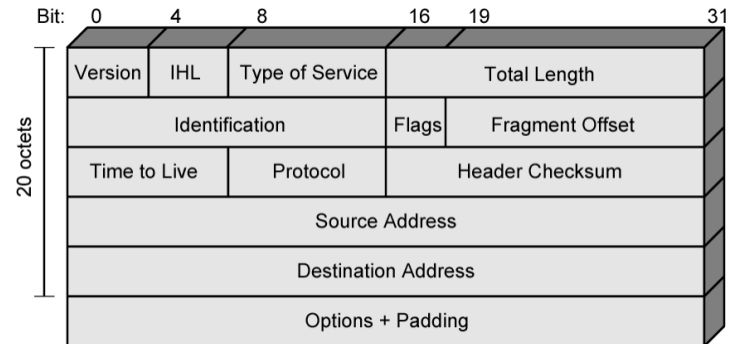
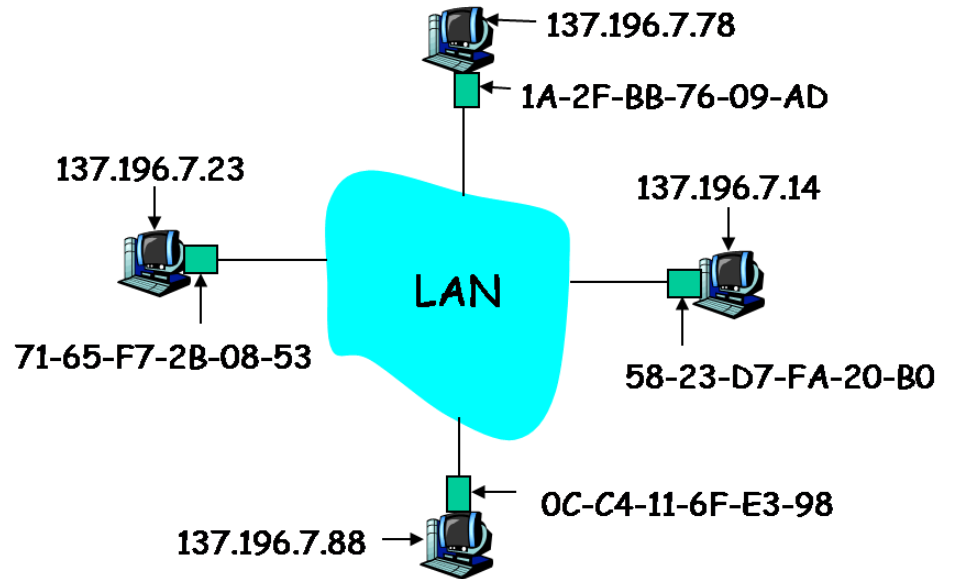


What I learnt from DHCP
my IP: 1.2.3.48
netmask: 1.2.3.0/24
(255.255.255.0)
Local DNS: 1.2.3.156
router: 1.2.3.19



MAC Address Resolution Problem

- User 137.196.7.23 want to Ping 137.196.7.88
 - Source IP: 137.196.7.23
 - Destination IP: 137.196.7.88
 - Source MAC: 71-65-F7-2B-08-53
 - Destination Mac: ?
- Its MAC address is needed to deliver the data
- On LAN, ARP is used get a host/router's MAC given its IP address





Address Resolution Protocol

- ARP (Address Resolution Protocol)
 - Map IP address to MAC address
 - 192.168.1.2 -> 00-15-C5-49-04-A9
 - Only works in a LAN

- Compare: DNS (domain name system)
 - Map domain name to IP address
 - Baidu.com -> 220.181.38.148



ARP Procedure

- Every host maintains an **ARP table**
 - List of (IP address → MAC address)
- **Sender**
 - Looks into local cache first, if none
 - Constructs **ARP request**, insert <sender IP, sender MAC, destination IP>
 - **Broadcasts** using MAC frame
 - **Caches** destination's <MAC, IP> pair with timestamp
- **Receiver**
 - Checks the destination IP, if OK
 - Constructs **ARP reply**, insert <destination IP, destination MAC>
 - **Sends to sender MAC** using MAC frame
 - **Caches** sender's <MAC, IP> pair with timestamp

```
C:\WINDOWS\system32\cmd.exe
Microsoft Windows [版本 10.0.14393]
(c) 2016 Microsoft Corporation. 保留所有权利。

C:\Users\lwz>arp -a

接口: 192.168.199.177 --- 0x7
Internet 地址      物理地址      类型
192.168.199.1      d4-ee-07-20-06-82 动态
192.168.199.111    dc-53-60-66-c5-65 动态
192.168.199.125    48-d7-05-b4-04-93 动态
192.168.199.146    54-14-73-f8-e9-10 动态
192.168.199.154    fc-64-ba-bd-b1-4c 动态
192.168.199.218    48-d7-05-b4-04-93 动态
192.168.199.231    2c-0e-3d-a7-93-0d 动态
192.168.199.236    b4-ae-2b-cf-18-48 动态
192.168.199.255    ff-ff-ff-ff-ff-ff 静态
224.0.0.2          01-00-5e-00-00-02 静态
224.0.0.22         01-00-5e-00-00-16 静态
224.0.0.251        01-00-5e-00-00-fb 静态
224.0.0.252        01-00-5e-00-00-fc 静态
238.238.238.238    01-00-5e-6e-ee-ee 静态
239.255.255.250    01-00-5e-7f-ff-fa 静态
255.255.255.255    ff-ff-ff-ff-ff-ff 静态

接口: 192.168.158.1 --- 0x13
```



ARP protocol in action

example: A wants to send datagram to B

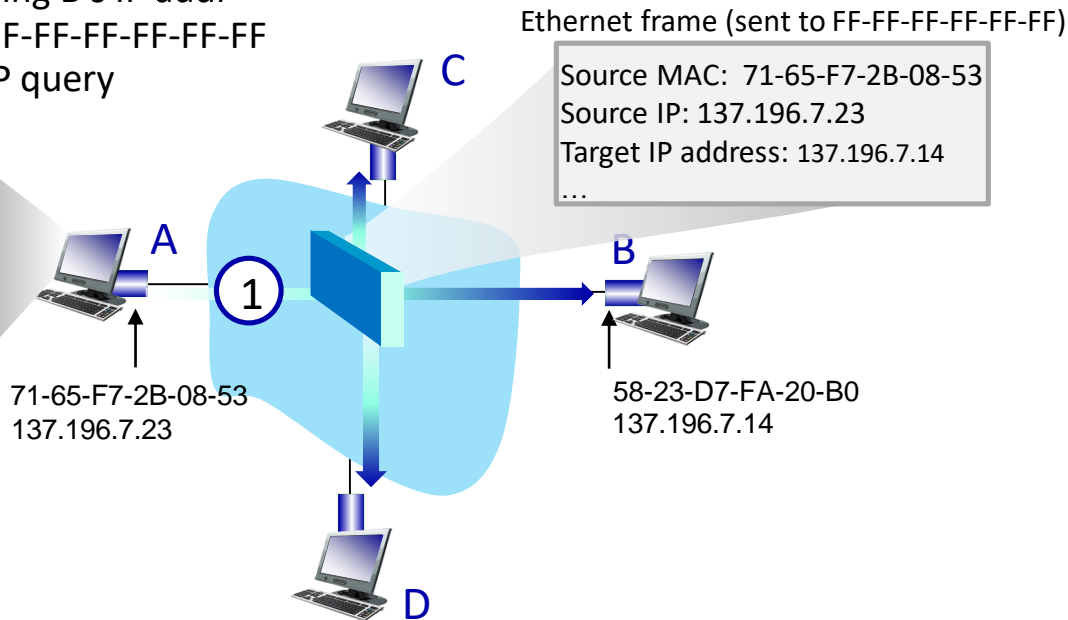
- B's MAC address not in A's ARP table, so A uses ARP to find B's MAC address

A broadcasts ARP query, containing B's IP addr

- ①
- destination MAC address = FF-FF-FF-FF-FF-FF
 - all nodes on LAN receive ARP query

ARP table in A

| IP addr | MAC addr | TTL |
|---------|----------|-----|
| | | |

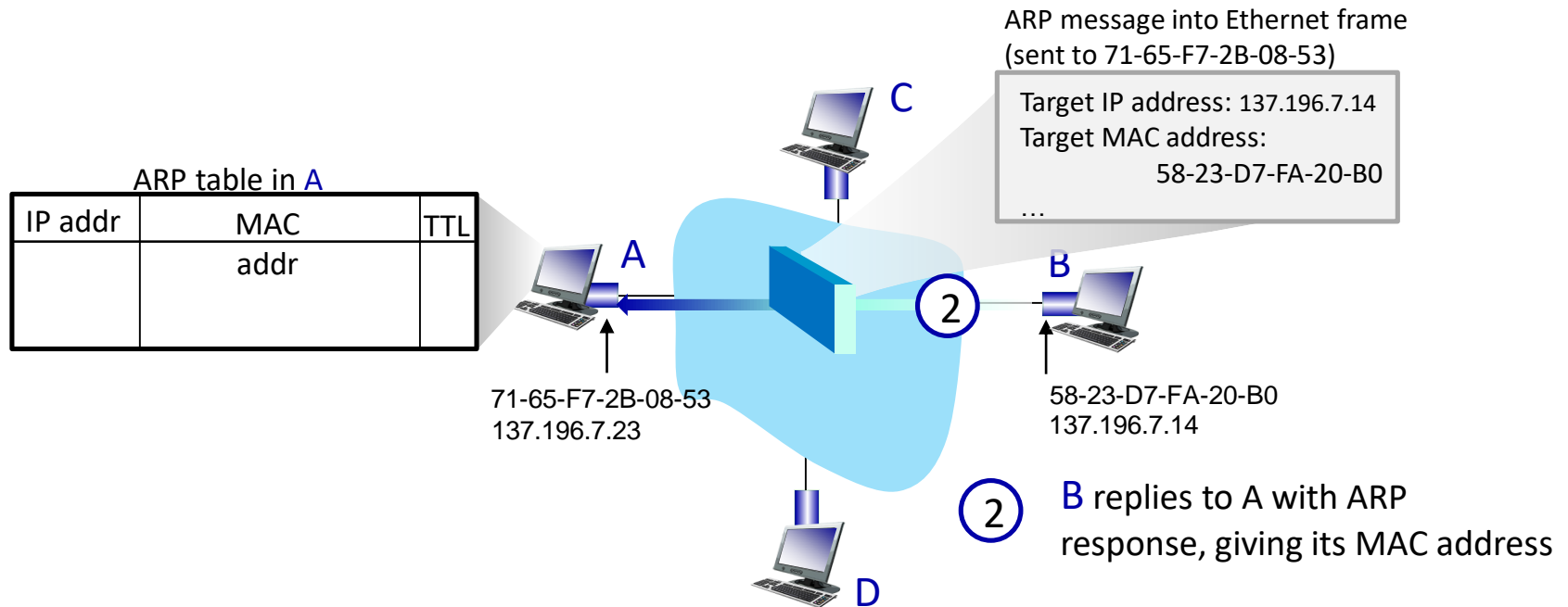




ARP protocol in action

example: A wants to send datagram to B

- B's MAC address not in A's ARP table, so A uses ARP to find B's MAC address

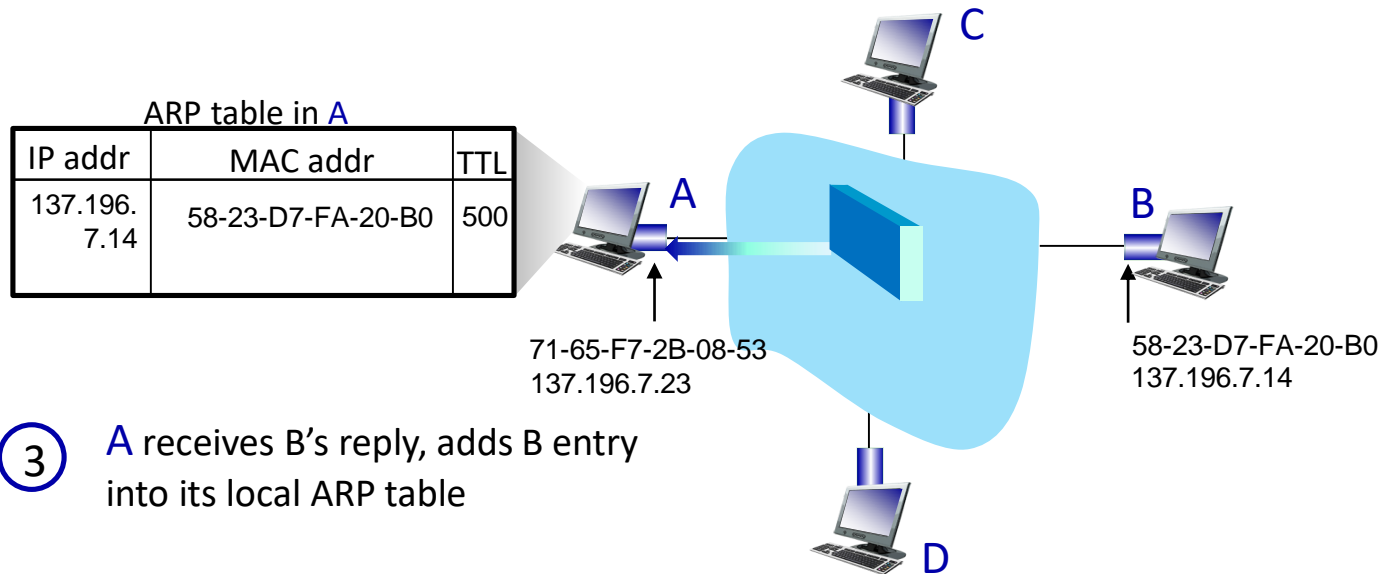




ARP protocol in action

example: A wants to send datagram to B

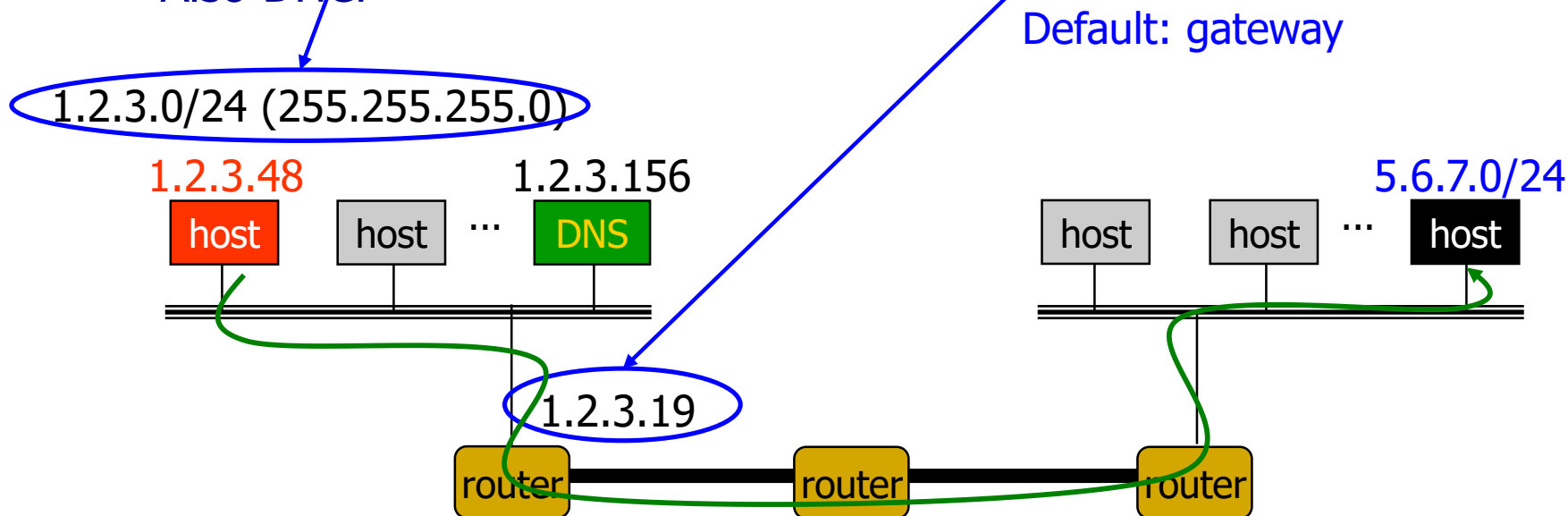
- B's MAC address not in A's ARP table, so A uses ARP to find B's MAC address





What if the destination is remote?

- Look up the MAC address of the first hop router
 - 1.2.3.48 uses ARP to find MAC address for first-hop router **1.2.3.19** rather than ultimate destination IP address
- How does the red host know the destination is not local?
 - Uses **netmask** (discovered via DHCP)
- How does the red host know about 1.2.3.19?
 - Also DHCP

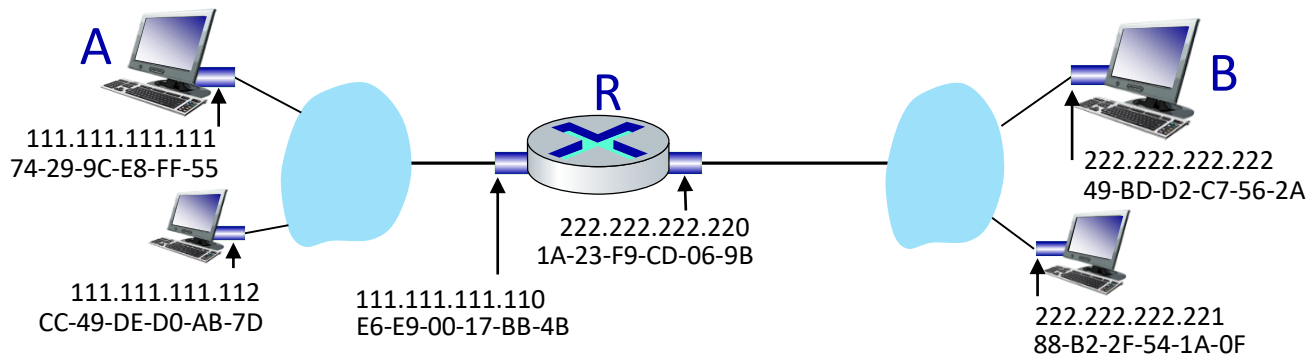




Routing to another subnet

walkthrough: sending a datagram from *A* to *B* via *R*

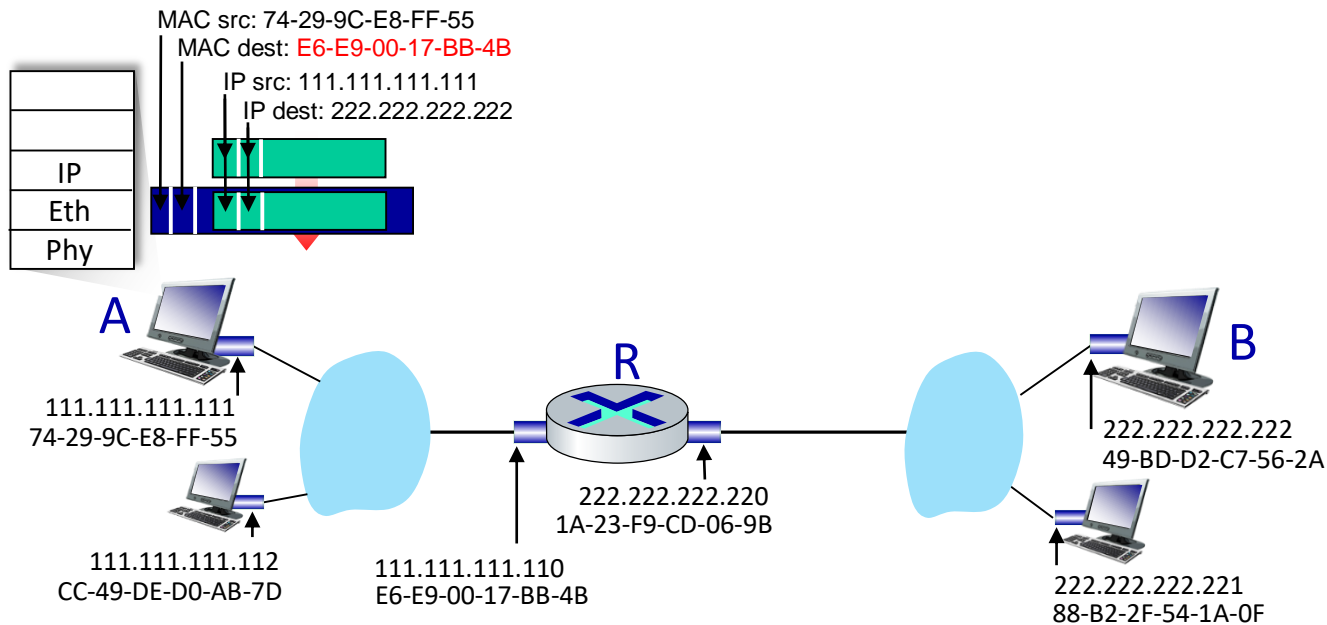
- focus on addressing – at IP (datagram) and MAC layer (frame) levels
- assume that:
 - A knows B's IP address
 - A knows IP address of first hop router, R (how?)
 - A knows R's MAC address (how?)





Routing to another subnet

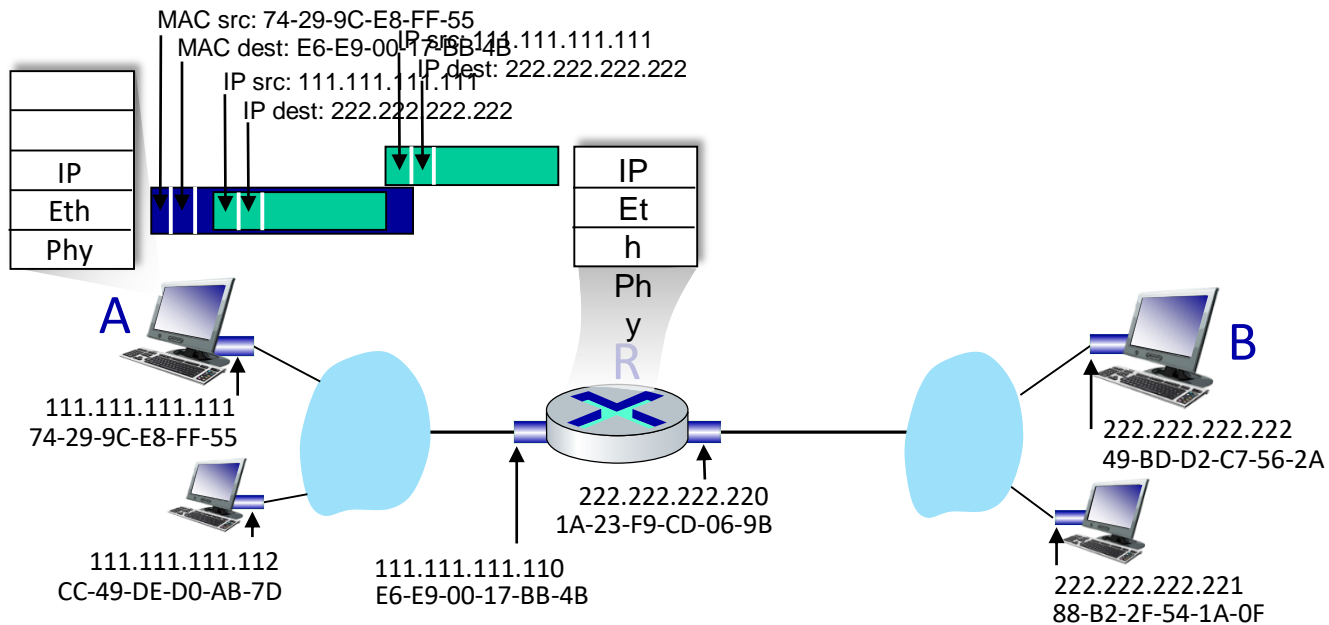
- A creates IP datagram with IP source A, destination B
- A creates link-layer frame containing A-to-B IP datagram
 - R's MAC address is frame's destination





Routing to another subnet

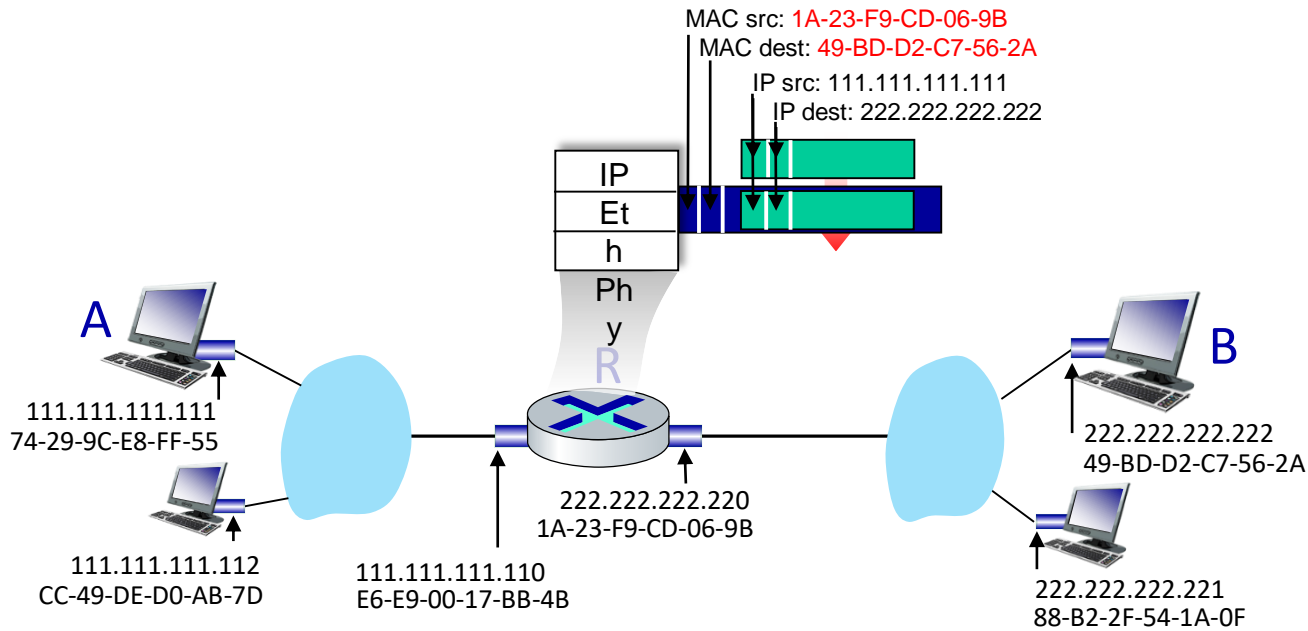
- frame sent from A to R
- frame received at R, datagram removed, passed up to IP





Routing to another subnet

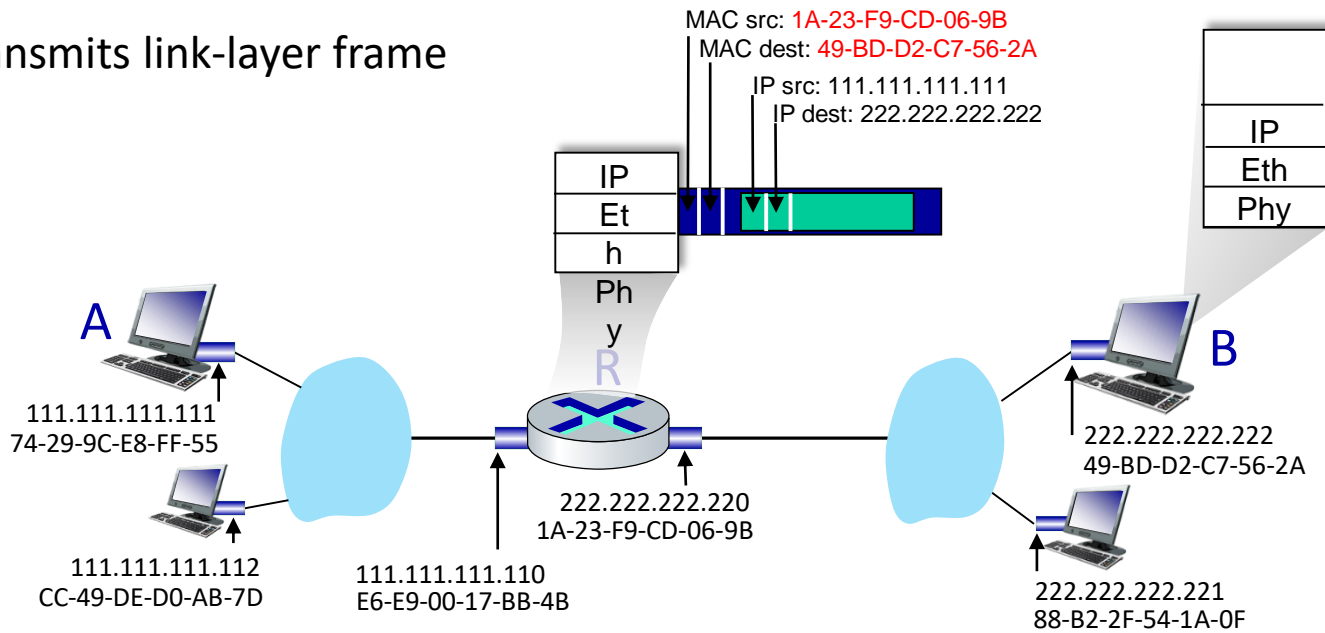
- R determines outgoing interface, passes datagram with IP source A, destination B to link layer
- R creates link-layer frame containing A-to-B IP datagram. Frame destination address: B's MAC address





Routing to another subnet

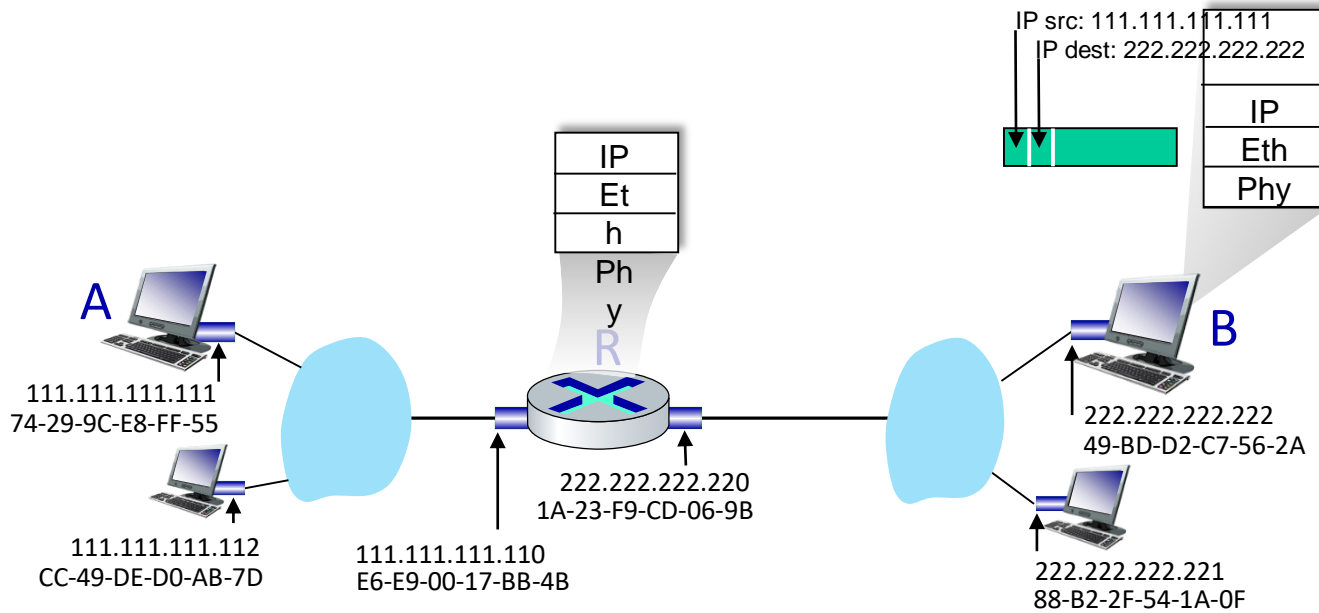
- R determines outgoing interface, passes datagram with IP source A, destination B to link layer
- R creates link-layer frame containing A-to-B IP datagram. Frame destination address: B's MAC address
- transmits link-layer frame





Routing to another subnet

- B receives frame, extracts IP datagram destination B
- B passes datagram up protocol stack to IP





Example: PC1 Ping PC2

Use ARP to find the next-hop MAC!

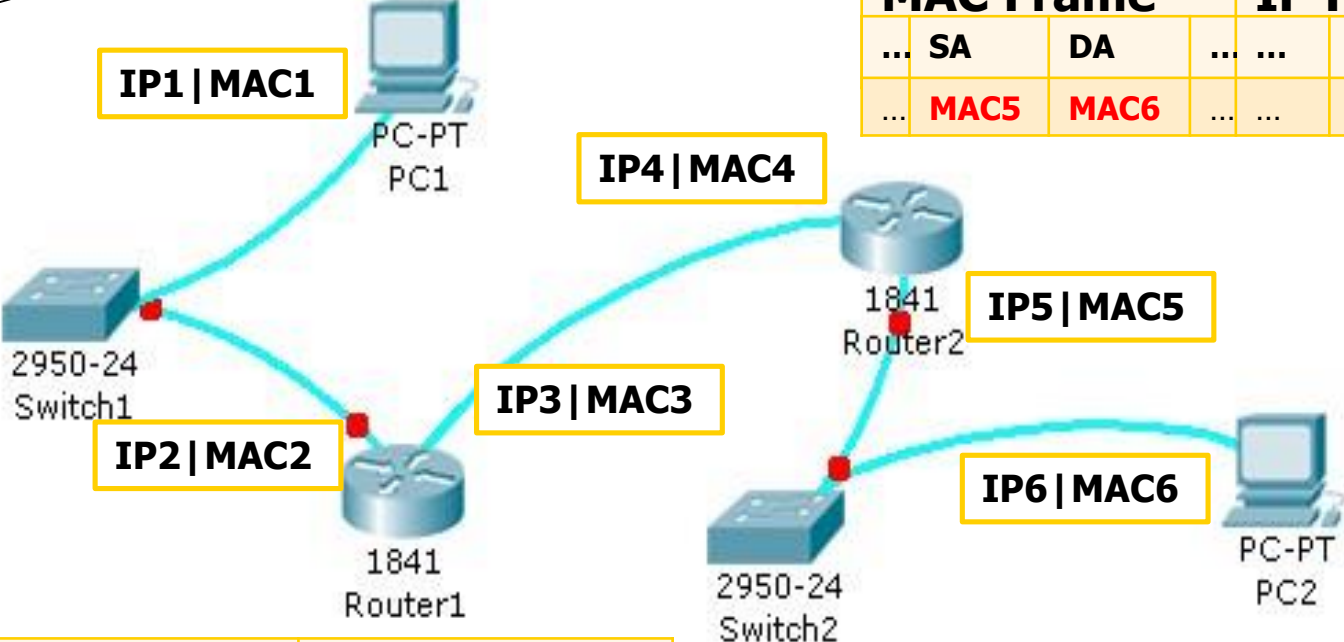
| MAC Frame | | | IP Head | | | | |
|-----------|------|----|---------|-----|-----|-----|-----|
| ... | SA | DA | ... | ... | SA | DA | ... |
| ... | MAC1 | ? | ... | ... | IP1 | IP6 | ... |

MAC2

IP1 | MAC1

IP4 | MAC4

| MAC Frame | | | IP Head | | | | |
|-----------|------|------|---------|-----|-----|-----|-----|
| ... | SA | DA | ... | ... | SA | DA | ... |
| ... | MAC5 | MAC6 | ... | ... | IP1 | IP6 | ... |



| MAC Frame | | | IP Head | | | | |
|-----------|------|------|---------|-----|-----|-----|-----|
| ... | SA | DA | ... | ... | SA | DA | ... |
| ... | MAC3 | MAC4 | ... | ... | IP1 | IP6 | ... |



Key ideas in both ARP and DHCP

- **Broadcasting**: Can use broadcast to make contact
 - Scalable because of limited size
- **Caching**: remember the past for a while
 - Store the information you learn to reduce overhead
- **Soft state**: eventually forget the past
 - Associate a time-to-live field with the information
 - ... and either refresh or discard the information
 - Key for robustness in the face of unpredictable change



ID resolution in the networking stack

| Layer | Examples | Structure | Configuration | Resolution Service |
|---------------|-------------------|--------------------------|---------------|--------------------|
| App. Layer | cse.umich.edu | Organizational hierarchy | ~ manual | ↕ DNS |
| Network Layer | 123.45.6.78 | topological hierarchy | DHCP | |
| Link layer | 45-CC-4E-12-F0-97 | vendor (flat) | hard-coded | ↕ ARP |



Discovery mechanisms

- We have seen two approaches
 - Broadcast (ARP, DHCP)
 - Flooding does not scale
 - No centralized point of failure
 - Zero configuration
 - Directory service (DNS)
 - No flooding / scalable
 - Root of the directory is vulnerable (caching is key)
 - Needs configuration to bootstrap (local, root servers, etc.)



Summary

- MAC机制性能分析
 - Point-to-point link
 - Ring LAN
 - ALOHA, Slotted ALOHA
 - CSMA/CD (**p-persistent**)
- MAC地址发现
 - 自动地址配置: DHCP
 - MAC地址解析: ARP